



WHO would benefit from this programme?

The first level regulatory examination introduced by the FSB in 2008, provides a framework for representatives to develop competencies related to the Financial Services Industry and its Sub-Sector. It provides a balanced learning experience and an opportunity for learners to apply academic skills in relation to the workplace. The Regulatory Examination is structured in such a way that it exposes learners to generic competencies required in the Financial Services Sector to meet the legislative requirements and compliance in their different work roles. All representatives mandated or employed by an FSP must achieve the RE 5 within 2 years from date of employment.

Programme Structure

The Curriculum is aligned to the specifications of the FSB (Financial Services Board) with a total of 8 chapters covering all Knowledge Criteria's as stated in the relevant board notices. The learning material is delivered in the INSETA prescribed format. The Programme consists of 8 modules with the option of 3 delivery modes:

1. Workshop by way of lectures, group discussions, case studies and role plays conducted over 1 ½ days;
2. Delivery via distance learning;
3. And e- learning.

Assessment of Participants

- All assessments are conducted by way of blooms theory
- Upon completion of the programme a pre-admission assessment (mock assessment) will conducted to test for readiness to attempt the RE 5
- Individual Group Exercises & Tasks
- Open and Closed Book Case Study
- integrative assessments
- Closed book multiple choice summative assessment

Value Added Services

- All examination bookings will be done by the academic office on behalf of the learners
- Exposure to typical exam questions at all levels will be unpacked with learners by qualified lecturers

Occupational Group

- The Qualification has relevance for but not limited to individuals in Retirement Funds and Medical Schemes, Intermediaries including, but not limited to, Financial Advisors, Personal Financial Planners, Financial Services Call Centre Agents, Client Service Providers, Brokers, Broker Consultants, Retirement Fund Consultants, Retirement Fund Administrators, Trust Fund Officers, Product Developers, Consultants who advise on product structure of Retirement Funds, Principal Officers, Trustees, Medical Scheme Consultants, Senior Administrators, Retail Mangers who support Advisors and have a back office function, Collective Investment Scheme Managers, Account Executives and Compliance Officers. Choose this programme to.
- Credit Managers.
- Claims Mangers.
- Underwriters
- Bankers

Admission requirements

The minimum entry requirements for admission are:

- There are no pre-defined admission requirements for the first level regulatory examination.
- Articulation:** As this is a First Level examination it should provide the learner with access to the RE second level
- Exemption:** There is no exemption granted
- Recognition of Prior Learning:** N/A

Additional Learning Solutions

- One-on-One Facilitated Learning
- Traditional Paper-Based Course Manuals
- Rich-Media Presentations
- Webinar Workshops